# Avoiding Additive Powers in Words

Lucas Mol



Coast Combinatorics Conference March 5, 2023

"The three spheres continued to dance in my dream, a patternless, never-repeating dance. Yet, in the depths of my mind, the dance did possess a rhythm."

-from The Three-Body Problem by Cixin Liu

ABELIAN AND ADDITIVE SQUARES

- An *alphabet* is a finite set of letters, treated simply as symbols, e.g.,
  - $\{a, b, c, \dots, z\}$  (the English alphabet)
  - ► {0,1} (the binary alphabet)
  - ► {A, C, G, T} (the alphabet of DNA strings)

- An *alphabet* is a finite set of letters, treated simply as symbols, e.g.,
  - ► {a, b, c,..., z} (the English alphabet)
  - ► {0,1} (the binary alphabet)
  - ► {A, C, G, T} (the alphabet of DNA strings)
- A word is a sequence of letters taken from some alphabet, e.g.,
  - apple, banana, clementine (English words)
  - 0110100110010110 (a binary word)
  - AAGATGCCGT (a DNA string)

- An *alphabet* is a finite set of letters, treated simply as symbols, e.g.,
  - $\{a, b, c, \dots, z\}$  (the English alphabet)
  - ► {0,1} (the binary alphabet)
  - ► {A, C, G, T} (the alphabet of DNA strings)
- A word is a sequence of letters taken from some alphabet, e.g.,
  - apple, banana, clementine (English words)
  - 0110100110010110 (a binary word)
  - AAGATGCCGT (a DNA string)
- We are mostly interested in *long* words over *small* alphabets.

- An *alphabet* is a finite set of letters, treated simply as symbols, e.g.,
  - $\{a, b, c, \dots, z\}$  (the English alphabet)
  - ► {0,1} (the binary alphabet)
  - ► {A, C, G, T} (the alphabet of DNA strings)
- A word is a sequence of letters taken from some alphabet, e.g.,
  - apple, banana, clementine (English words)
  - 0110100110010110 (a binary word)
  - AAGATGCCGT (a DNA string)
- We are mostly interested in *long* words over *small* alphabets.
- Which patterns can be avoided, and which patterns must inevitably occur?

- murmur, hotshots, caracara
- ▶ 00,010212010212

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - e.g. The word 0110 has factors:

0,

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

0,1,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

```
0,1,01,
```

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

```
0,1,01,11,
```

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10,
```

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212

► The *factors* of a word are its contiguous subwords.

• e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011,

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212

► The *factors* of a word are its contiguous subwords.

• e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110,

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212

► The *factors* of a word are its contiguous subwords.

• e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110, and 0110

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ▶ e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.

► A word is *square-free* if it contains no squares as factors.

▶ apple

► A square is a word of the form *xx*, e.g.,

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ► e.g. The word 0110 has factors:

0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.
```

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free
  - ▶ banana

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ► e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.
```

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free
  - banana not square-free

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.
```

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free
  - banana not square-free
  - clementine

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - ► e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.
```

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free
  - banana not square-free
  - clementine square-free

- murmur, hotshots, caracara
- ▶ 00,010212010212
- ► The *factors* of a word are its contiguous subwords.
  - e.g. The word 0110 has factors:

```
0, 1, 01, 11, 10, 011, 110, and 0110, but NOT 00.
```

- ► A word is *square-free* if it contains no squares as factors.
  - apple not square-free
  - banana not square-free
  - clementine square-free
- One can define cubes, 4th powers, etc. in a similar manner.

Q: Are there arbitrarily long square-free words over a finite alphabet?

Q: Are there arbitrarily long square-free words over a finite alphabet? A: Hmmmm...

Q: Are there arbitrarily long square-free words over a finite alphabet?

A: Hmmmmm...

► Over an alphabet of size one, say {0}?

Q: Are there arbitrarily long square-free words over a finite alphabet?

A: Hmmmmm...

► Over an alphabet of size one, say {0}? No.

Q: Are there arbitrarily long square-free words over a finite alphabet?

A: Hmmmm...

- ► Over an alphabet of size one, say {0}? No.
- ► Over an alphabet of size two, say {0,1}?

Q: Are there arbitrarily long square-free words over a finite alphabet?

A: Hmmmm...

- ► Over an alphabet of size one, say {0}? No.
- ► Over an alphabet of size two, say {0,1}? No.

Q: Are there arbitrarily long square-free words over a finite alphabet?

A: Hmmmmm...

- ► Over an alphabet of size one, say {0}? No.
- ► Over an alphabet of size two, say {0,1}? No.
- ► Over an alphabet of size three, say {0, 1, 2}?

#### letters

"The three spheres continued to dance in my dream, a patternless, never-repeating dance. Yet, in the depths of my mind, the dance did possess a rhythm."

-from The Three-Body Problem by Cixin Liu

▶ Define a map *h* by

- ► Define a map *h* by
  - ► *h*(0) = 012,
  - ▶ h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

h(0120) = h(0)h(1)h(2)h(0) = 012021012

- ► Define a map *h* by
  - ▶ h(0) = 012,
  - ▶ h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

```
h(0120) = h(0)h(1)h(2)h(0) = 012021012
```

► We start with 0, and repeatedly apply *h*.
- ► Define a map *h* by
  - ▶ h(0) = 012,
  - h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

$$h(0120) = h(0)h(1)h(2)h(0) = 012021012$$

► We start with 0, and repeatedly apply *h*.

h(0) = 012

- ► Define a map *h* by
  - ▶ h(0) = 012,
  - ▶ h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

h(0120) = h(0)h(1)h(2)h(0) = 012021012

► We start with 0, and repeatedly apply *h*.

h(0) = 012 $h^2(0) = 012021$ 

- ► Define a map *h* by
  - ▶ h(0) = 012,
  - h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

h(0120) = h(0)h(1)h(2)h(0) = 012021012

► We start with 0, and repeatedly apply *h*.

$$h(0) = 012$$
  

$$h^{2}(0) = 012021$$
  

$$h^{3}(0) = 012021012102$$

- ► Define a map *h* by
  - ▶ h(0) = 012,
  - ▶ h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

h(0120) = h(0)h(1)h(2)h(0) = 012021012

► We start with 0, and repeatedly apply *h*.

$$h(0) = 012$$
  

$$h^{2}(0) = 012021$$
  

$$h^{3}(0) = 012021012102$$
  

$$h^{4}(0) = 012021012102012021020121$$

- ► Define a map *h* by
  - ▶ h(0) = 012,
  - ▶ h(1) = 02, and
  - ▶ h(2) = 1.

• Extend *h* to all words over  $\{0, 1, 2\}$  in the obvious way:

$$h(0120) = h(0)h(1)h(2)h(0) = 012021012$$

► We start with 0, and repeatedly apply *h*.

$$h(0) = 012$$
  

$$h^{2}(0) = 012021$$
  

$$h^{3}(0) = 012021012102$$
  

$$h^{4}(0) = 012021012102012021020121$$
  
:

 $h^{\omega}(0) = 012021012102012021020121...$ 

#### THE ORIGIN OF COMBINATORICS ON WORDS

Theorem:  $h^{\omega}(0) = 012021012102012021020121...$  is square-free.



Axel Thue (1863-1922)

#### SQUARES AND SQUARE-FREE WORDS

ABELIAN AND ADDITIVE SQUARES

An *abelian square* is a word of the form  $x\tilde{x}$ , where  $\tilde{x}$  is an *anagram* of *x*.

► Examples: mesosome, reappear, intestines

An *abelian square* is a word of the form  $x\tilde{x}$ , where  $\tilde{x}$  is an *anagram* of *x*.

- ► Examples: mesosome, reappear, intestines
- Question (Erdős 1961): Are abelian squares avoidable over some finite alphabet?

An *abelian square* is a word of the form  $x\tilde{x}$ , where  $\tilde{x}$  is an *anagram* of *x*.

- ► Examples: mesosome, reappear, intestines
- Question (Erdős 1961): Are abelian squares avoidable over some finite alphabet?
- Unlike regular squares, they are NOT avoidable over three letters.

An *abelian square* is a word of the form  $x\tilde{x}$ , where  $\tilde{x}$  is an *anagram* of *x*.

- ► Examples: mesosome, reappear, intestines
- Question (Erdős 1961): Are abelian squares avoidable over some finite alphabet?
- Unlike regular squares, they are NOT avoidable over three letters.
- Theorem (Keränen 1992): Abelian squares are avoidable over four letters.

An *abelian square* is a word of the form  $x\tilde{x}$ , where  $\tilde{x}$  is an *anagram* of *x*.

- ► Examples: mesosome, reappear, intestines
- Question (Erdős 1961): Are abelian squares avoidable over some finite alphabet?
- Unlike regular squares, they are NOT avoidable over three letters.
- Theorem (Keränen 1992): Abelian squares are avoidable over four letters.
- The word  $\sigma^{\omega}(0)$  avoids abelian squares, where

An *additive square* is a word of the form  $x\tilde{x}$ , where x and  $\tilde{x}$  have the same length and the same sum.

► Examples: 012012, 012021, 013202

- ► Examples: 012012, 012021, 013202
- ► Question (Justin 1972): Are additive squares avoidable over some finite subset of Z?

- ► Examples: 012012, 012021, 013202
- ► Question (Justin 1972): Are additive squares avoidable over some finite subset of Z?
  - ▶ We. Don't. Know.

- ► Examples: 012012, 012021, 013202
- ► Question (Justin 1972): Are additive squares avoidable over some finite subset of Z?
  - ▶ We. Don't. Know.
- Theorem (Cassaigne, Currie, Schaeffer and Shallit 2014): Additive *cubes* are avoidable over {0, 1, 3, 4}.

# Additive Squares

- ► Examples: 012012, 012021, 013202
- ► Question (Justin 1972): Are additive squares avoidable over some finite subset of Z?
  - ▶ We. Don't. Know.
- Theorem (Cassaigne, Currie, Schaeffer and Shallit 2014): Additive *cubes* are avoidable over {0, 1, 3, 4}.
- The word  $h^{\omega}(0)$  avoids additive cubes, where

$$h(0) = 03$$
  
 $h(1) = 43$   
 $h(3) = 1$   
 $h(4) = 01$ 

#### **DECISION ALGORITHMS**

► Theorem (Currie and Rampersad 2012): There is an algorithm which decides, under certain conditions on *h*, whether *h*<sup>ω</sup>(0) contains abelian squares (cubes, 4th powers, etc.)

#### **DECISION ALGORITHMS**

- Theorem (Currie and Rampersad 2012): There is an algorithm which decides, under certain conditions on *h*, whether *h*<sup>ω</sup>(0) contains abelian squares (cubes, 4th powers, etc.)
- Theorem (Rao and Rosenfeld 2018): Weaker conditions on *h*, less efficient algorithm. Can be tweaked to handle abelian or additive powers.

#### **DECISION ALGORITHMS**

- Theorem (Currie and Rampersad 2012): There is an algorithm which decides, under certain conditions on *h*, whether *h*<sup>ω</sup>(0) contains abelian squares (cubes, 4th powers, etc.)
- Theorem (Rao and Rosenfeld 2018): Weaker conditions on *h*, less efficient algorithm. Can be tweaked to handle abelian or additive powers.
- Theorem (Currie, Mol, Rampersad, and Shallit 2021+): Stronger conditions on *h*, more efficient algorithm for additive powers.

► In fact, the algorithm is easy to implement.

► In fact, the algorithm is easy to implement.

Here is the main idea:

► In fact, the algorithm is easy to implement.

Here is the main idea:

► Any long additive power in h<sup>ω</sup>(0) must have arisen by applying h repeatedly to some short "seed word".

► In fact, the algorithm is easy to implement.

Here is the main idea:

- ► Any long additive power in h<sup>ω</sup>(0) must have arisen by applying h repeatedly to some short "seed word".
- Show that these seed words cannot look "too different" from additive powers – there are only finitely many possible *templates* for these seed words.

► In fact, the algorithm is easy to implement.

Here is the main idea:

- ► Any long additive power in h<sup>ω</sup>(0) must have arisen by applying h repeatedly to some short "seed word".
- Show that these seed words cannot look "too different" from additive powers – there are only finitely many possible *templates* for these seed words.
- ► Enumerate all short words in h<sup>ω</sup>(0), and check to see if they match any of the templates.

#### EXAMPLE

#### Define f by

f(0) = 001f(1) = 012f(2) = 212

Then

 $f^{\omega}(0) = 001001012001001012001012212\cdots$ 

is additive 4th power-free.

- Our theorem shows that this fact can be established by a finite computer check.
- ► This word is also (regular) cube-free.

We still don't have a construction of additive square-free words over a finite subset of  $\mathbb{Z}.$ 

We still don't have a construction of additive square-free words over a finite subset of  $\mathbb{Z}$ .

► If we find a candidate construction h<sup>ω</sup>(0), then we just need to run our algorithm to prove it!

We still don't have a construction of additive square-free words over a finite subset of  $\mathbb{Z}$ .

- If we find a candidate construction h<sup>ω</sup>(0), then we just need to run our algorithm to prove it!
- ► The conditions of our theorem are fairly restrictive.

We still don't have a construction of additive square-free words over a finite subset of  $\mathbb{Z}$ .

- If we find a candidate construction h<sup>ω</sup>(0), then we just need to run our algorithm to prove it!
- ► The conditions of our theorem are fairly restrictive.
- ► But our algorithm is efficient.

We still don't have a construction of additive square-free words over a finite subset of  $\mathbb{Z}$ .

- If we find a candidate construction h<sup>ω</sup>(0), then we just need to run our algorithm to prove it!
- ► The conditions of our theorem are fairly restrictive.
- ► But our algorithm is efficient.

Hopefully this method will prove useful!

Thank you!

#### TEMPLATES



► A *template* (for additive squares) is a 4-tuple



• A word w is an *instance* of  $[a_0, a_1, a_2, d]$  if

 $w = a_0 w_0 a_1 w_1 a_2$ 

and

$$\vec{\sigma}(w_1) - \vec{\sigma}(w_0) = \vec{d}.$$

• If  $w = x\tilde{x}$  is an additive square, then w is an instance of

$$[\varepsilon, \varepsilon, \varepsilon, \vec{0}]$$

#### PARENTS

We say that template  $T = [A_0, A_1, A_2, \vec{D}]$  is a *parent* of template  $t = [a_0, a_1, a_2, \vec{d}]$  if applying *h* to an instance of *T* gives an instance of *t*.



# THE FIRST TWO CONDITIONS

Condition 1: For all letters x, the length and sum of h(x) are *linear* functions of x, that is

• the length of h(x) is ax + b

▶ the sum of h(x) is cx + d for some  $a, b, c, d \in \mathbb{Z}$ .

• Record this in the matrix  $M_h = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ .

• Lemma: 
$$M_h \vec{\sigma}(W) = \vec{\sigma}(h(W))$$

• Condition 2:  $M_h$  is invertible, so that

$$\vec{\sigma}(W) = M_h^{-1}\vec{\sigma}(h(W)).$$
These first two conditions allow us to find all possible parents of a given template  $t = [a_0, a_1, a_2, \vec{d}]$ .



These first two conditions allow us to find all possible parents of a given template  $t = [a_0, a_1, a_2, \vec{d}]$ .



These first two conditions allow us to find all possible parents of a given template  $t = [a_0, a_1, a_2, \vec{d}]$ .



These first two conditions allow us to find all possible parents of a given template  $t = [a_0, a_1, a_2, \vec{d}]$ .



difference  $\vec{D}$  determined by  $\vec{d}$  and the choice/position of the  $A_i$ 's

# THE THIRD CONDITION

- Now that we can compute the parents of a given template t, we want to compute the set of all *ancestors* of t (parents, grandparents, great-grandparents, etc.)
- How do we know that this set is finite?
- ► We need a condition on *h* which guarantees that for any ancestor  $T = [A_0, A_1, A_2, \vec{D}]$  of *t*, the difference  $\vec{D}$  is not too large.
- Essentially, applying  $M_h^{-1}$  repeatedly cannot make the differences larger and larger.
- Condition 3: All eigenvalues of M<sub>h</sub> are larger than 1 in absolute value.

## THE LAST CONDITION

- Condition 4: For all letters x, the length of h(x) is at least 2.
- So taking preimages makes words shorter!
- ► This guarantees that if h<sup>ω</sup>(0) contains an instance of a template t, then h<sup>ω</sup>(0) contains a *short* instance of some ancestor of t.

### DESCRIPTION OF THE ALGORITHM

Suppose that *h* satisfies these four conditions.

- Consider the template  $t = [\varepsilon, \varepsilon, \varepsilon, \vec{0}]$ .
  - ► An instance of *t* is an additive square.
- We enumerate all ancestors of *t*.
  - ► This set is finite!
- If h<sup>ω</sup>(0) contains an additive square, then it must contain a short instance of one of these ancestors.
  - This is our "seed word".
- ► We enumerate all short factors of h<sup>ω</sup>(0), and check to see if any of them is an instance of an ancestor of t.
  - If so, then  $h^{\omega}(0)$  contains an additive square.
  - If not, then  $h^{\omega}(0)$  is additive square-free!