# Effective Urban Traffic Monitoring by Vehicular Sensor Networks

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Abstract—Traffic monitoring in urban transportation systems can be carried out based on vehicular sensor networks (VSNs). Probe Vehicles (PVs), such as taxis and buses, and Floating Cars (FCs), such as patrol cars for surveillance, can act as mobile sensors for sensing the urban traffic and send the reports to traffic monitoring center (TMC) for traffic estimation. In TMC, sensing reports are aggregated to form traffic matrix, which is used to extract traffic information. Since the sensing vehicles cannot cover all the roads for all the time, TMC needs to estimate the un-sampled data in traffic matrix. As this matrix can be approximated to be of low-rank, Matrix Completion (MC) is an effective method to estimate the un-sampled data. However, our previous analysis on the real traces of taxis in Shanghai reveals that MC methods do not work well due to the uneven samples of PVs, which is common in urban traffic. To exploit the intrinsic relationship between unevenness of samples and traffic estimation error, we study the temporal and spatial entropies of samples and successfully define the important criterion, i.e. average entropy of the sampling process. A new sampling rule based on this relationship is proposed to improve the performance of estimation and monitoring. With the sampling rule, two new patrol algorithms are introduced to plan the paths of controllable FCs to proactively participate in traffic monitoring. By utilizing the patrol algorithms for real dataset analysis, the estimation error reduces from 35% to about 10%, compared with random patrol or interpolation method in traffic estimation. Both the validity of the exploited relationship and the effectiveness of the proposed patrol control algorithms are demonstrated.

Keywords—Vehicular sensor network, traffic sensing, matrix completion, patrol control

#### I. INTRODUCTION

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**T** RAFFIC congestion has become a severe problem in metropolises according to the data in "TTI's 2012 Urban Mobility Report" [1]. It is reported that 5.52 billion hours delay and 2.88 billion gallons of fuel wasted in urban area of the US due to traffic congestion in 2011. To reduce the traffic congestion, the information of traffic condition plays a vital role [2, 3]. With real-time traffic information, the drivers can plan their routes to avoid crowded areas towards their destinations in the urban area. Traffic monitoring center (TMC) can also benefit from the information as it can provide timely traffic guidance by means of traffic light control to prevent traffic congestion. Besides, it may benefits the usage of vehicular network's spectrum by using some opportunistic spectrum access schemes as mentioned in [4].

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The objective of traffic monitoring is to achieve the traffic condition precisely and efficiently. Besides the static sensors such as digital camera and loop detector, Vehicular Sensor Network (VSN)[5, 6], which benefits from the mobility of the vehicles, is an effective and economical way to sense the real-time traffic conditions. For example, in Shanghai, China, the taxis and buses are equipped with on board units (OBUs) such as GPS receiver, speedometer and accelerometer to act as Probe Vehicles (PVs) such that traffic conditions of the roads can be sensed by the mobile vehicles. These PVs periodically generate traffic reports and send them through cellular networks to the TMC called Shanghai Traffic Information Center (STIC). In STIC, map matching algorithm is adopted to match each report to a certain road, so that the mean traveling speed of the road during a period of time can be computed. The preprocessed data is then used to estimate the traffic condition of all the roads in the cloud server. Finally, the estimation results are published by STIC on the Internet or Traffic Message Boards in main streets and elevated roads of Shanghai.

Generally, traffic matrix is used to extract traffic information. In a traffic matrix  $X = \{x_{ij}\}$ , the entry  $x_{ij}$  represents the traffic condition (e.g. average speed based on all the reports from PVs on the road) of the *i*-th road in the city at the *j*-th duty cycle of a day. Thus each row represents the traffic reports of a specific road during all the duty cycles of a day, and each column represents the traffic reports at a specific duty cycle for all roads. Unfortunately, the distribution of the PVs leads to the uneven sampling of the traffic conditions. By the analysis on the real traces of taxis in Shanghai from STIC, we find that the distribution is so uneven that about 70% of the roads have traffic reports for less than 30% of the duty cycles in a day.

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Similar results are also given in [7, 8]. Despite the mobility feature of the vehicles, the TMC cannot guarantee to get the traffic condition for most of the roads in most of the time. It is very desirable to get the traffic estimation from aspects of location based services for vehicles and traffic management for TMC.

In order to estimate the traffic condition of these un-sampled roads, Matrix Completion (MC)[9] technique (an extension of compressive sensing) can be developed to reconstruct the data in the empty entries of the traffic matrix (see, e.g., [8] and [10]). The advantages of MC based estimation algorithms are the efficiency and high accuracy of estimation based on only a small portion of samples in the matrix in the conditions that the singular values of the matrix are sparse and the samples distribute uniformly randomly in the matrix. However, the sampling reports of the PVs are uneven due to the uneven urban traffic distribution, and thus the unevenness destroys the performance of MC based estimation. Moreover, because MC technique depends on the minimization of the rank of matrix, it is unapplicable for the case that there are any empty rows (all the entries of the row are empty) or empty columns of the matrix. By analyzing the real traffic data in Shanghai, it will be shown in Section IV for details that about 17% of the roads have no reports for a whole day. It results in a big challenge to utilize MC technique for traffic estimation. How to achieve the traffic conditions of these un-sampled roads is a vital problem for urban traffic management. One reason is that private cars may often pass these roads even though they are not frequently passed by PVs. More importantly, they are the alternatives for traffic guidance to avoid traffic congestion. One possible approach to facilitate MC based estimation is to improve the evenness of samples. It is more effective to avoid empty columns or empty rows in traffic matrix.

Inspired by the fact that utilizing sensors' mobility can improve the network performance such as coverage [11, 12], and connectivity [13], we intend to control the movement of some vehicles to avoid empty rows or empty columns in the sampled matrix and thus reduce the estimation error. Different from buses, taxis and private cars which just passively participate in traffic monitoring, some controllable vehicles proactively participate in traffic monitoring. In Shanghai, these controllable vehicles could be the patrol cars from TMC, and traffic cars and surveillance cars of Road Policing Units (RPUs) of Shanghai Municipal, which are called Floating Cars (FCs) in the rest of the paper. The traffic cars and surveillance cars are used to assist with their duties in patrolling and responding to incidents, enforcing traffic laws in certain areas to gather evidence of any criminal offences. We can monitor the traffic more effectively by planning patrolling paths for FCs to improve the evenness of samples in traffic matrix. Compared to the solution of equipping more static sensors such as digital cameras and loop detectors, utilizing the FCs in such a way is an economical solution without extra costs of installation and maintenance. According to our best knowledge, this is the first time to improve the MC based estimation by controlling the mobility of some sensors.

In order to achieve the reasonable control for FCs, two issues are necessary to be addressed. One issue is to explore how the unevenness of samples affects the estimation error of MC based algorithms. The other issue is to investigate effective sampling rules (i.e. control laws of FCs) such that the MC based estimation can be improved.

For the first issue, it is necessary to find a new criterion to describe the evenness of samples, since the common used criterion, sampling ratio, is demonstrated to be not appropriate to represent the correlation of the data (detailed analysis will be given in Section V). It is known that entropy can be used to measure the uncertainty of the information and also the correlation of the data. It is a potential criterion to represent the performance of the sampling process. Consequently, we explore the relationship between the estimation error and samples of MC based method from the perspective of entropy in this paper. It turns out to be significant for designing the sampling rules. By analyzing the traffic data from STIC in Shanghai, we introduce the criterion of average entropy of samples to evaluate the estimation. This kind of approach is not seen in open literature.

For the second issue, we come up with a sampling rule based on the introduced average entropy, so that the estimation error can be minimized with small sampling ratio. According to the rule, we propose two patrol algorithms for FCs by minimizing the average entropy of each road.

The main contributions of this paper are as follows:

- By analyzing the traffic data of STIC in Shanghai, it is found that the apparent uneven distribution of the PVs severely influences the performance of the MC-based estimation of the urban traffic conditions. Moreover, the new criterion of average entropy is introduced to describe the unevenness of samples.
- The relationship between the estimation error of MC based method and average entropy of the samples is explored and modeled by a log function.
- Based on the explored relationship between estimation error and average entropy, control method of FCs are given in patrol ways. The number of required FCs can be determined to satisfy a pre-described estimation error.

The remainder of the paper is organized as follows. The related works are discussed in Section II. In Section III, we describe the system model for urban traffic estimation and show the preliminary of MC technique. The analysis of the real traces is shown in Section IV. The relationship between the estimation error and entropy of samples is explored in Section V. Based on the proposed relationship, patrol algorithms for FCs are given in Section VI. Simulation studies are given in Section VII to evaluate of the patrol algorithms, followed by the conclusion in Section VIII.

## II. RELATED WORKS

In this section, we discuss the related works on traffic estimation, mobility management and patrol control of path planning and highlight the contributions of this paper.

## A. Traffic Monitoring with VSN

There are some approaches for estimation of the traffic conditions by using vehicles. In [16–18], PVs count the

number of neighbor vehicles via broadcasting beacon message and receiving responses through OBUs to estimate the traffic density. In this way, traffic conditions can be estimated without equipping all vehicles with GPS. However, these methods, namely Infrastructure-Free Traffic Information System (IFTIS) in [16] and MobSampling in [17], can only estimate microscopic traffic density since they are not scalable for large-scale urban traffic.

Another way for infrastructure-free traffic estimation is compressive sensing (CS). It is a signal processing technique for efficiently reconstructing a signal from relatively few measurements by taking advantage of signal's sparseness or compressibility. There have been a lot of works on efficient data gathering for sensor networks with compression and recovery techniques in CS methodology. Luo et al. [19] first proposed a compressive data gathering (CDG) for large scale WSNs based on CS. The proposed method can reduce the global data traffic and balance the energy consumption of the sensor nodes. Similarly, the so-called Compressed Sparse Function (CSF) method is proposed in [20] to gather the data in WSN. The sparse function is used to compress the original data of sensor nodes. Instead of utilizing CS based algorithm to recover the observations of sensors, Cheng et al. proposed Spatio-Temporal CDG (STCDG) in [21] to gather the data based on MC technique. Inspired by the CS/MC-based data gathering scheme in WSNs, Liu et al. extended the CDGbased scheme to Vehicular Ad Hoc Networks (VANETs) in [22], where vehicles with OBUs need to report the spatial correlated data to the roadside units (RSUs) through multihop transmission. During the transmission, the data of vehicles is compressed with the similar technique as CDG, and then recovered by RSUs. Taking the scarce communication resource among vehicles into accounts, Wang et al. proposed Compressive Sensing based Monitoring (CSM) in [23] for large-scale monitoring applications with vehicular networks. They used entropy analysis on the traffic data to show the strong correlation in the data readings of vehicles. Besides CS, MC are also used in monitoring application with vehicular networks. In [8], it is reported that the traffic matrix can be well considered as a low-rank one such that MC technique can be applied.

The aforementioned CS/MC based methods can be used to gather the traffic data efficiently with sparsifying sensor (or vehicle in [22]) data, but they are still unable to estimate the data in empty columns of the traffic matrix for urban traffic estimation. In one of our previous works in [10], the traffic estimation problem is also formulated into a MC problem by taking advantage of the low-rank feature, which represents sparsity in singular values. In order to achieve more reasonable estimations, we paid great attention to the temporal continuity of the traffic condition and the bounds of data in traffic matrix, and set a projection for graded traffic matrix without empty columns. Then the efficient HaTTEM (Half Thresholding MC for Traffic Estimation and Monitoring) method is proposed with low computational complexity. However, only those roads of TMC's interest can be estimated. In this paper, the HaTTEM method is used to estimate the traffic for all roads in the city. It means much more empty columns are to be treated in the traffic matrix and the method must be scalable. In the best knowledge of authors, seldom of the existing CS/MC works for WSNs and VANETs considers the influence of sampling process. We will show in Section IV that in urban VSNs, the data gathering cost increases dramatically if we only simply increase the number of PVs to collects more data. It is even worse that the estimation error may fail to obviously reduce with higher sampling ratio in traffic matrix, based on our analysis of a large real dataset of buses and taxis traces in Section V. The features of samples (data in the traffic matrix) becomes significant for estimation effectiveness and efficiency and they are needed to be exploited.

In this paper, by analyzing real traces of taxis in Shanghai, China, we reveal that the evenness of samples strongly relates to the MC based estimation of traffic matrix. Motivated by the important observation, we explore this intrinsic relationship by a new criterion of average entropy of samples. Different from the existing works, this paper focuses on proactively sensing the needed traffic data to improve the estimation performance with lower sampling ratio. Thus there is almost no extra cost for sensing and data gathering by only replanning the paths of FCs.

## B. Mobility Management in Ad Hoc Networks

The mobility of the network nodes have been leveraged to improve the coverage and connectivity of the networks. Liu *et al.*[11] used mobile sensor nodes to cover a region for intrusion detection, so that the coverage of the network depends on not only the initial network configuration but also the sensors' mobility. He *et al.*[12] proposed that mobile node should move using the knowledge of intruder to further improve the coverage of mobile sensor networks. In order to improve the connectivity, mobile nodes act as data mule to deliver the data from the isolated area to the sink or other nodes[13, 14], so that the network can be deployed not as dense as the static sensor networks and thus it reduces the deployment cost. Besides, Ma *et al.*[15] proposed a mobile data-gathering scheme, in which the tour of the wireless sensor networks.

In this paper, we try to manage the mobility of FCs in VSN to improve the performance of the system. The objective is to change sampling to improve the evenness and thus reduce the traffic estimation error of MC based method.

Since FCs may be the surveillance cars of RPUs, patrolling control is an effective way to plan the paths of FCs. Chevaleyre *et al.* gave some theoretical results for the patrolling problem in [24] and compared the performance of partition based patrol and the cyclic patrol. In [25], the so-called MSP (Multi-level Subgraph Patrolling) Algorithm is proposed for multiple robots to patrol. The area is modeled as a graph and the robots need to maximize the visit frequency for every vertex in the graph. The patrol algorithm is based on Hamiltonian cycles and longest path. Different from them, the proposed patrol algorithms in this paper try to maximize the visit frequency for every edge in the graph.





Fig. 1. Architecture of VSN for urban traffic estimation

#### III. SYSTEM MODELS AND PRELIMINARIES

### A. System Models

Consider a VSN for urban traffic monitoring as shown in Fig. 1. PVs and FCs act as mobile sensor nodes to sense the traffic condition of the roads with assistance of on-board sensors such as GPS receiver, speedometer and accelerometer. Generally, the PVs can be buses and taxis that passively participates in traffic monitoring. The moving paths of buses and taxis are predefined and determined by the drivers or the passengers, respectively. Hence they are uncontrollable by traffic monitoring center. On the other hand, FCs, such as patrol vehicles from TMC that are specially used for traffic monitoring, or surveillance cars from RPUs, proactively participate in traffic monitoring. They choose their paths autonomously or reactively according to the guidance from TMC to travel a set of given roads. Consequently, their paths are controllable (or partially controllable) according to the requirement of traffic monitoring and other surveillance purposes.

In the considered system, PVs and FCs upload their sensing reports, including location, time of report, current speed and headings to TMC via GPRS channel or RSU as reported in [7] and [26]. Then the TMC collects all the traffic reports and preprocesses them for estimation. For example, the location of each report is matched to one road by map matching algorithm, data from different sensors are fused and traffic matrix X can be formed. The MC based estimation of traffic condition could take place in the cloud server as shown in Fig. 1. The estimation result is then sent back to TMC and published to vehicles in the city.

The roads in the city are modeled as the links in the directed graph  $G = \{V, E\}$ , where V is the vertex set and represents the intersections, and E is the edge set.  $\langle v_i, v_j \rangle \in E$  if and only if the vehicles can drive from the intersection  $v_i$  to the intersection  $v_j$  directly. We refer to a directed edge as a link, and a road is the undirected path connecting two intersections in the rest of the paper.  $n_1$  is the number of links whose traffic conditions need to be estimated. The sensing reports of PVs in the past time duration T are used. Define the time granularity as  $\Delta T$ , the time duration T is partitioned into  $n_2 \triangleq [T/\Delta T]$ 

duty cycles. TMC constructs an indicate matrix  $A = \{a_{ij}\}$ , where  $a_{ij} = 1$  for the case that at least one report of the *i*-th link during the *j*-th duty cycle is uploaded, otherwise  $a_{ij} = 0$ . Hence, the samples of PVs can be represented by the indicate matrix A.

Define the traffic matrix to be estimated as  $X = \{x_{ij}\} \in \mathbb{R}^{n_1 \times n_2}_+$ , where  $\mathbb{R}^{n_1 \times n_2}_+$  represents positive real space with the dimension of  $n_1 \times n_2$ . Let the sample matrix be  $Y = \{y_{ij}\} \in \mathbb{R}^{n_1 \times n_2}_+$ , where  $y_{ij}$  denotes the average speed of all the PVs in the *i*-th link during the *j*-th duty cycle. Obviously, the entry  $y_{ij}$  is empty if  $a_{ij} = 0$ , and  $x_{ij}$  can be well estimated by  $x_{ij} = y_{ij}$  for  $a_{ij} = 1$ . The objective of traffic estimation is to estimate all the entries of X based on the samples Y.

## B. MC Based Traffic Estimation

It has been shown in [8] that the traffic matrix can be approximated to a low rank matrix. Hence, MC is used in this paper to estimate the un-sampled values in the traffic matrix via solving the following general problem:

$$X = \arg\min_{X} \operatorname{rank}(X)$$
  
s.t.  $P_{\Omega}(Y) = P_{\Omega}(X)$  (1)

where  $\Omega = \{(i, j) | a_{ij} = 1\}$  is the set of the index of the sampled data of X, and  $P_{\Omega}(X) : \mathbb{R}^{n_1 \times n_2}_+ \to \mathbb{R}^{n_1 \times n_2}_+$  is the projection to let  $x_{ij} = 0, \forall (i, j) \notin \Omega$ . With the knowledge that all the values in the traffic matrix should be non-negative, and the observations of each link usually does not change rapidly except for the peak hour, we modify the problem (1) to the following problem for more realistic sake.

$$\hat{X} = \arg \min_{X} \{ \| \mathbf{svd}(X) \|_{0} + \gamma \| T(X) \|_{2}^{2} + \gamma \| B(X) \|_{2}^{2} \}$$
s.t.  $P_{\Omega}(X) = P_{\Omega}(Y)$ 
(2)

where  $||\cdot||_0$  is the  $L_0$ -norm of a vector (returns the number of non-zeros in the vector),  $\mathbf{svd}(X)$  denotes the singular values of X, T(X) is the punishment if large changes exist among two consecutive samples at the same link, and B(X) is the punishment of its negative entries. To solve the problem (2), we adopt the HaTTEM algorithm proposed in our previous paper [10] by the following sub-problems iteratively:

$$\begin{cases} u^{k+1} = \arg\min_{u} \{\lambda \gamma \|u\|_{2}^{2} + \|u - T(X^{k})\|_{2}^{2} \} \\ v^{k+1} = \arg\min_{v} \{\lambda \gamma \|v\|_{2}^{2} + \|v - B(X^{k})\|_{2}^{2} \} \\ X^{k+1} = \arg\min_{v} \{\lambda \|\mathbf{svd}(X)\|_{0} \\ + \|P_{\Omega}(X) - P_{\Omega}(Y)\|_{2}^{2} \\ + \|T(X) - u^{k+1}\|_{2}^{2} + \|B(X) - v^{k+1}\|_{2}^{2} \}. \end{cases}$$

$$(3)$$

The main idea of the algorithm (3) is as follows. Firstly, estimate some values in the un-sampled indices according to the temporal continuity and data bound of the traffic data, respectively. Secondly, use the sampled data, together with the estimated data, to solve the minimization problem of the rank of the traffic matrix. Due to the limitation of the space, the detailed HaTTEM algorithm is not shown here, and please refer to [10] for more details. In the rest of the





Fig. 2. The CDF of integrity of links

paper, MC( $P_{\Omega}(X)$ ) represents the estimation result by using HaTTEM to recover the traffic matrix according to the sample matrix  $Y = P_{\Omega}(X)$ .

# IV. ANALYSIS OF UNEVENNESS OF SAMPLES IN REAL VEHICLE TRACES

For MC based estimation methods, the data are required to be sampled uniform randomly to achieve high estimation accuracy in data reconstruction. However, it has been shown that the distribution of the traffic is uneven [4, 7], which may be due to social features discussed in [27, 28]. It leads to the uneven sampling in traffic matrix. We exploit the effect of unevenness on the estimation performance under real distribution of PVs in the city.

In this section, we first use real traces dataset from STIC, Shanghai, to analyze the distribution of PVs. Each report in the dataset includes the information of vehicle ID, the GPS reading of the vehicle, the time of record, the vehicle's speed and the heading direction of the vehicle. The data that we use to analyze were collected on Jan. 24th, 2013, and there are about 65 million lines of records. So there is about 1 record of each link every minute on average. Due to the uncertainties in GPS records, a "Point-to-Arc" based map matching method is applied in the analysis. We find the closest link according to a GPS record as the traveling link of a PV, subject to the requirement that the angle difference between link's direction and vehicle's heading direction is smaller than  $\pi/4$ .

In our analysis, we divide a day into 288 duty cycles with 5 minutes per duty cycle. Then the integrity of link *i* is defined as  $I_i = \sum_{j=1}^{288} a_{ij}/288$ . The cumulative distribution function (CDF) of integrity is shown in Fig. 2. It indicates that about 70% of the links have reports for less than 30% of the time, and the average integrity of all links in the city is 24.26%. This result is similar to what is reported in [8] based on the data collected in 2007. For MC based estimation methods, a matrix cannot be estimated if any row or column is empty. However, according to analysis of CDF of integrity, nearly 17% links have no reports for a whole day. It represents that 17% rows in the traffic matrix are empty, and the conditions

of the corresponding links are hardly to be estimated even using MC technique. One possible solution is to deploy static sensors, such as inductive loops and digital cameras, on these roads. To achieve the desired sensing coverage, the deployment and maintenance cost of static sensors are prohibitive [8]. Comparatively, replanning some FCs paths in VSN is an economical solution as it has been noted in the section of Introduction.

In order to evaluate the performance of MC based methods, we choose the links whose integrity is 100% to construct a new traffic matrix  $X_{full}$ . Then  $X_{full}$  is sampled following the statistic integrity of the links as shown in Fig. 2, and  $\hat{X}_{full}$  is achieved by using the algorithm (3). It results in relative estimation error  $Err \triangleq ||\hat{X}_{full} - X_{full}||_F / ||X_{full}||_F = 35\%$  on average, where  $\|\cdot\|_F$  is the Frobenius norm of a matrix. However, the estimation error could be reduced to about 10% if  $X_{full}$  is sampled randomly. It implies that the performance of the algorithm (3) is strongly related to the distribution of samples, and is greatly limited by the unevenness, especially by the empty rows.

From the important observation, we exploit the explicit relationship between estimation error and the unevenness of samples. We first give the following important definitions.

*Definition 1:* (Empty row/column) A row/column is called an empty row/column if and only if all the entries of the row/column are un-sampled.

*Definition 2:* (Coverage for Matrix Completion, MC-Coverage) A matrix is of MC-coverage if and only if neither empty columns nor empty rows exist in the matrix.

Notice that if a low-rank matrix is not MC-covered, it cannot be recovered from the samples by MC based estimation. Hence we estimate the number of required PVs to make the traffic matrix X MC-covered. For simplicity, suppose all PVs are independent [27] and identically distributed. Let  $p_i(j)$  denote the probability of a PV on the link *i* reporting its reading during the *j*-th duty cycle. The following theorem gives the probability  $P_{MC}$  of N PVs to **MC-cover**  $n_1$  links in  $n_2$  duty cycles.

Theorem 1: Given a selected link set E with the number of links  $|E| = n_1$ , the probability for N PVs to **MC-cover** all the links in E within  $n_2$  duty cycles  $P_{MC}$  is

$$P_{MC} = \prod_{i=1}^{n_1} [1 - \prod_{j=1}^{n_2} (1 - p_i(j))^N]$$
(4)

*Proof:* As PVs are on the road, there will be no empty columns in the traffic matrix X. Therefore, we only need to consider the cases of empty rows.

An empty row in the traffic matrix X implies that there is no PV reporting the traffic condition at the corresponding link. If the *i*-th link is not sampled during the *j*-th duty cycle, the probability can be calculated by the case that no PVs traverse the *i*-th link during the *j*-th duty cycle, which is equal to  $(1 - p_i(j))^N$ . Hence, the probability that the *i*-th link is not sampled for all  $n_2$  duty cycles is  $\prod_{j=1}^{n_2} (1 - p_i(j))^N$ . Since there are  $n_1$  links to be MC-covered, equation (4) is obtained.



Fig. 3. The probability of MC-coverage with respect to the number of PVs

Due to the unevenness of city traffic,  $p_i(j)$  is quite different for different links. Moreover, it also varies for different duty cycles. For example, the probability is obviously higher in the peak hours than in the mid-night. We need to estimate  $p_i(j)$ according to the traffic distribution in order to determine the required number of PVs for MC-coverage.

For simplicity,  $p_i(j)$  is estimated by  $\hat{p}_i(j) = c_{ij}/V_{sum}$ , where  $c_{ij}$  is the number of reports of the *i*-th link during the *j*-th duty cycle, and  $V_{sum}$  is the number of PVs in the system. In the dataset we analyze, the number of different Vehicle IDs is 32122. As a result,  $V_{sum}$  is set to be 32122.

Notice that there are some links with integrity of 0, and it is not proper to set  $\hat{p}$  of these links as zero according to the aforementioned estimation method. This is because if the probabilities are set as zero, the corresponding rows in the indicate matrix will definitely be empty no matter how many PVs are deployed into the system. So we set

$$\hat{p}_i(j) = \begin{cases} \frac{1}{n_2 V_{sum}} & \text{if } \forall j \in [1, n_2], c_{ij} = 0\\ \frac{c_{ij}}{V_{sum}} & \text{otherwise.} \end{cases}$$
(5)

We then calculate  $P_{MC}$  according to equation (4) and (5) and the result is shown in Fig. 3. It can be seen that more than 320,000 PVs are required in order to achieve MC-coverage with high probability of 95%. Considering the privacy issue pointed out in [30], private cars are not willing to use OBUs to upload their locations and running states periodically. It means that we still need about 300,000 taxis or buses acting as PVs, which is obviously unable to be fulfilled currently. It can be observed that simply increasing the number of PVs is not a pragmatic method for traffic monitoring based on MC method in VSN. It is thus very necessary to find an efficient way to improve the evenness of PVs' samples so that the estimation error can be reduced from 35% to about 10%.

## V. Relationship between Estimation Error and Entropy of Traffic Samples

We have observed that simply increasing the number of PVs on the road cannot tackle the difficulty on improvement of evenness of PVs' samples. It can be explained by the fact that the roads in the city seldom passed by taxis still have small possibility of being reported even more PVs are deployed. As a result, we need to increase the reports on these links by replanning the paths of some controllable FCs. With this target, we first need to exploit the proper criterion to represent the evenness of samples. Then, we can further investigate the relationship between the estimation error of MC based method and the evenness of samples. Data correlation is used to explain such phenomenon in our previous work [31], but the relationship is still unexplored. It is significant for constructing control laws for FCs.

It is known that the disorder of samples can be expressed by entropy. The more random the samplings are, the more precise the estimation of traffic matrix could be. Thus, we use entropy as the criterion to evaluate the sampling process and explore the connection between the entropy and the estimation error. For simplicity, we choose the links with 100% integrity to form  $X_{full}$  and then measure the entropy. Each entry in  $X_{full}$  is considered as a state, and we can analyze the temporal entropy and spatial entropy, followed by the relationship between entropy and estimation error.

#### A. Temporal Entropy

Suppose there are K different states in  $X_{full}$  and the temporal entropy  $H_T(t)$  at time t is calculated as follows:

$$H_T(t) = \sum_{i=1}^{K} \Pr_T(i,t) \ln \frac{1}{\Pr_T(i,t)}$$

where  $\Pr_T(i, t)$  is the probability that the state *i* appears in the *t*-th duty cycle and is achieved by taking statistic of  $X_{full}$ . Let  $k_{i,t}$  denote the number of times that state *i* appears in the *t*-th column of  $X_{full}$  and  $n'_1$  the number of rows in  $X_{full}$ ,  $\Pr_T(i, t)$  is then estimated by  $k_{i,t}/n'_1$ . Based on the temporal entropy, we can calculate the mutual information between two traffic states of the same link in different time, which is defined as

$$I_T(t_1, t_2) = H_T(t_1) + H_T(t_2) - H_T(t_1, t_2), \qquad (6)$$

where  $H_T(t_1, t_2)$  is the joint temporal entropy of the  $t_1$ -th and the  $t_2$ -th duty cycle. Naturally, the closer the two duty cycles are, the stronger correlation between the data is, and thus the larger the mutual information is. In order to evaluate Eqn. (6), we define the k-temporal mutual information (KTMI) as the averaged temporal mutual information of two duty cycles with the fixed time interval k as follows:

$$M_T(k) = \frac{1}{n_2 - k} \sum_{i=1}^{n_2 - k} I_T(t_i, t_{i+k}).$$

Based on curve fitting tool in Matlab, we plot the KTMI of  $X_{full}$  and find that it is well fitted by an exponential curve  $f(k) = \alpha \exp(-\beta k) + \gamma$  as shown in Fig. 4, and the adjusted R-square of the fitting is 0.9864. Hence KTMI can be estimated by  $M_T(k) = 0.1226 \exp(-0.03462k) + 1.12782$ , and  $I_T(t_1, t_2) = M_T(|t_1 - t_2|)$ .



Fig. 4. The fitting curve of KTMI (1 nat corresponds to  $1/\ln 2$  bits)

Also, we can calculate the temporal conditional entropy as follows:

$$H_T(t_1|t_2) = H_T(t_1, t_2) - H_T(t_2)$$
  
=  $H_T(t_1) - I_T(t_1, t_2)$ .

As we have  $I_T(t_1, t_2) = M_T(|t_1 - t_2|)$ , the temporal conditional entropy can be calculated by

$$H_T(t_1|t_2) = H_T(t_1) - M_T(|t_1 - t_2|).$$
(7)

Let  $x_{\Omega} = \{x_{ij} | (i, j) \in \Omega\}$  as the set of the sampled data. Then we define the conditional temporal entropy (CTE) of sampling process  $H_T(x_{ij}|x_{\Omega})$  as the temporal conditional entropy of  $x_{ij}$  based on the knowledge of  $x_{\Omega}$ .

While calculating the CTE of an entry, say  $x_{ij}$ , links are assumed to be independent to each other. Also, we only consider the mutual information of its adjacent sampled data at the same link. Consequently, the CTE of  $x_{ij}$  is approximated by the average of the conditional temporal entropies of  $x_{ij}$ with its neighboring sampled data, as shown in Eqn. (8):

$$\hat{H}_T(x_{ij}|x_{\Omega}) = \frac{H_T(x_{ij}|x_{ik_T^l(i,j)}) + H_T(x_{ij}|x_{ik_T^u(i,j)})}{2}, \quad (8)$$

where  $k_T^l(i,j) = \max\{k|a_{ik} = 1 \land k \leq j\}$  and  $k_T^u(i,j) = \min\{k|a_{ik} = 1 \land k \geq j\}$  are the column index of  $x_{ij}$ 's adjacent sampled entry. According to Eqn. (7), we have

$$\hat{H}_T(x_{ij}|x_\Omega) = H_T(t_j) - \frac{1}{2} [M_T(|k_T^u(i,j) - j|) + M_T(|j - k_T^l(i,j)|)].$$
(9)

## B. Spatial Entropy

Similarly, let  $l_j$  denote the *j*-th link, then the spatial entropy, spatial mutual information and spatial conditional entropy are defined as follows:

$$H_S(l) = \sum_{i=1}^{K} \Pr_S(i, l) \ln \frac{1}{\Pr_S(i, l)},$$
  
$$I_S(l_1, l_2) = H_S(l_1) + H_S(l_2) - H_S(l_1, l_2),$$

$$H_S(l_1|l_2) = H_T(l_1) - I_S(l_1, l_2),$$

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where  $Pr_S(i, l)$  is the probability that the state *i* appears in the *l*-th road, and is estimated by taking statistic of  $X_{full}$ .

Unlike temporal entropy, we fail to find a curve that can fit the spatial mutual information with with the distance between two links. This can be explained that nearly all the roads are bi-direction and the condition of one direction, say  $\langle v_1, v_2 \rangle$ , is quite irrelevant to its opposite direction, say  $\langle v_2, v_1 \rangle$ . As a result, the spatial mutual information of the two direction is small even though the distance between them is nearly zero. Moreover, the correlation between  $\langle v_2, v_1 \rangle$  and its predecessor, say  $\langle v_3, v_2 \rangle$  is strong. While the correlation between  $\langle v_3, v_2 \rangle$ and  $\langle v_1, v_2 \rangle$  is not so strong since they only share the same end node. Notice that the spatial distance between  $\langle v_2, v_1 \rangle$  and  $\langle v_3, v_2 \rangle$  equals to the distance between  $\langle v_1, v_2 \rangle$  and  $\langle v_3, v_2 \rangle$ , the correlations differ greatly. Consequently, we cannot find an obvious relationship between the spatial mutual information and the distance of two links. As a result, we need to calculate  $I_S(l_1, l_2)$  for each  $(l_1, l_2)$  pair according to the traffic data instead of estimate by  $|l_1 - l_2|$  as temporal mutual information does.

Similarly to CTE, the conditional spatial entropy (CSE) of sampling process  $H_S(x_{ij}|x_{\Omega})$  is defined as spatial conditional entropy of  $x_{ij}$  based on the knowledge of  $x_{\Omega}$ . CSE is estimated by the following equation

$$\hat{H}_S(x_{ij}|x_\Omega) = H_S(l_i) - I_S(l_{k_S(i,j)}, l_i), \qquad (10)$$

where  $k_S(i, j) = \arg \max_k \{I_S(l_k, l_i) | a_{kj} = 1\}$  is the index of the link most related to the *i*-th link and sampled in the *j*-th duty cycle.

# C. Average Entropy of Samples

In this subsection, we show how to evaluate a sampling process based on temporal entropy and spatial entropy.

Notice that the uncertainty of the estimation comes from the empty entries in the matrix, and the entropy of samples is defined as the entropy of the un-sampled data based on the knowledge of the sampled data. It is shown as

$$H_{\Omega}(X) = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} (1 - a_{ij}) H(x_{ij} | x_{\Omega}),$$

where  $H(x_{ij}|x_{\Omega})$  is the conditional entropy of  $x_{ij}$  based on the knowledge of  $x_{\Omega}$ . Even though  $H(x_{ij}|x_{\Omega})$  can be calculated based on  $H(x_{ij})$ , it is hardly to achieve due to the limited data. Therefore, we use temporal entropy and spatial entropy instead, and the entropy of the samples is modified into

$$H_{\Omega}(X) = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} (1 - a_{ij}) [H_T(x_{ij} | x_{\Omega}) + H_S(x_{ij} | x_{\Omega})].$$
(11)

Then define the corresponding temporal entropy and spatial entropy as follows:

$$H_{T,\Omega}(X) = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} (1 - a_{ij}) H_T(x_{ij} | x_{\Omega}),$$

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$$H_{S,\Omega}(X) = \sum_{i=1}^{n_1} \sum_{j=1}^{n_2} (1 - a_{ij}) H_S(x_{ij} | x_{\Omega}).$$

Thus  $H_{\Omega}(X) = H_{T,\Omega}(X) + H_{S,\Omega(X)}$ .

Moreover, define the average entropy of samples as

$$\bar{H}_{\Omega}(X) = \bar{H}_{T,\Omega}(X) + \bar{H}_{S,\Omega}(X), \qquad (12)$$

where

$$\bar{H}_{T,\Omega}(X) \triangleq \sum_{i=1}^{n_1} \frac{\sum_{j=1}^{n_2} (1 - a_{ij}) H_T(x_{ij} | x_{\Omega})}{\sum_{j=1}^{n_2} a_{ij}}.$$
 (13)

In (13), the averaged temporal entropy (ATE) of a link is given by getting the temporal entropy sum of a link, divided by the link's number of the duty cycles that is sampled.  $\bar{H}_{T,\Omega}(X)$  is then the sum of all the links' ATE. Similarly, we have

$$\bar{H}_{S,\Omega}(X) \triangleq \sum_{j=1}^{n_2} \frac{\sum_{i=1}^{n_1} (1 - a_{ij}) H_S(x_{ij} | x_{\Omega})}{\sum_{i=1}^{n_1} a_{ij}}.$$
 (14)

In order to explore the relationship between the entropy and estimation error of MC based method, we generate a random sampling matrix  $\Omega$  for  $X_{full}$  to get a new traffic matrix. Then calculate the entropies (11) and (12), respectively. Then, we reconstruct  $X_{full}$  via HaTTEM algorithm introduced in Section III-B. Since HaTTEM is an iterative algorithm, a constant maximum iteration is set in the test. Fig. 5 shows the results with the sampling ratio of 35%.

It is obviously seen in Fig. 5 that the reconstruction error is more related to average entropy than to entropy. More tests are given with different sampling ratios varying from 15% to 35% with the difference of 2.5%. For a given sampling ratio, we test 1800 times and give the estimation error in Fig. 6.

Interestingly, it is seen from Fig. 6 that the reconstruction error has a uniform relationship with the average entropy. Moreover, the estimation error can still be as low as 10% and 15% even if the sampling ratio is set as low as 15% and 25%, while the estimation error can be as worse as 35% even if the sampling rate is 35%. It implies that the sampling ratio does not affect the estimation error directly. What takes effect on the error of MC based method is the average entropy of samples. Hence, the average entropy is chosen in this paper as the proper criterion for evenness of samples. Furthermore, it is also used to evaluate the relationship between estimation error and unevenness of samples.

It is desired to introduce an explicit function to show the relationship between the estimation error and the average entropy. It is obvious that if all the entries of a matrix is sampled, the average entropy is 0, and so as estimation error. Consequently, the curve of function should pass the origin (0,0). We model the log-like function in Fig. 6 by the function  $f(\bar{H}) = b \log(1 + c\bar{H})$ , where  $\bar{H}$  is the average entropy, b > 0 and c > 0 are the adjusting parameters to be determined. Then we again use the curve fitting tool in commercial software Matlab to identify the parameters. The fitting result is  $f(\bar{H}) = 0.1894 \log(1 + 2.392 \cdot 10^{-4}\bar{H})$ , which is the red solid line in Fig. 6. It is found that the value of  $\bar{H}_{T,\Omega}$  is very close to  $\bar{H}_{S,\Omega}$ . Then we divide the  $\bar{H}_{T,\Omega}$  by the



Fig. 5. (a) The estimation error VS the entropy of the sampling process (1 nat corresponds to  $1/\ln 2$  bits); (b) The estimation error VS the average entropy of the sampling process

number of links and get the ATE per link, denoted by  $\bar{H}_l$ . The relationship of estimation error of MC based method and the average entropy of samples is given in the following form

$$Err(\bar{H}_{\Omega}(X)) \triangleq \frac{\|\operatorname{MC}(P_{\Omega}(X)) - X\|_{F}}{\|X\|_{F}} \\ = f(\bar{H}_{\Omega}(X)) = b \log(1 + c\bar{H}_{\Omega}(X))$$
(15)

Also, it yields  $Err_l = b_l \log(1 + c_l \bar{H}_l)$  as the relationship of estimation error of MC based method and the ATE per link.

## VI. SAMPLING RULE DESIGN FOR FCS

Based on the relationship between estimation error and samples discussed in Section V, the main idea of this paper is to control the movement of FCs to minimize the average entropy of sampled traffic matrix Y.

Besides PVs, suppose that there are  $n_f$  FCs, which can be controlled, running in the city to sense the traffic condition of the roads. Let  $e(t_j, i)$  denote the index of link where the *i*-th FC is running at the time  $t_j$ , and  $\overrightarrow{E}_i =$  $\{e(t_1, i), e(t_2, i), \dots, e(t_f, i)\}$  denote the path of the *i*-th FC during the time from  $t_1$  to  $t_j$ . With the duty cycle of sampling,

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Fig. 6. Estimation error VS average entropy under different sample rate (1 nat corresponds to  $1/\ln 2$  bits)

the resulting index set of FC's samples is denoted as

$$\Omega_i = R(\overrightarrow{E}_i) = \{R(e(t_1, i)), R(e(t_2, i)), \cdots, R(e(t_f, i))\},$$

where  $R(e(t_j, i)) = (e(t_j, i), \lfloor t_j / \Delta T \rfloor)$  is the combinatory index of link and duty cycle for the *i*-th FC at time  $t_j$ .

Thus, the sampling process of all the FCs can be represented as  $\Omega_f = \bigcup_{i=1}^{n_f} \Omega_i$ . Let  $\Omega_p$  be the sampling process of all PVs, and the index of all the sampled data are redefined as  $\Omega =$  $\Omega_f \cup \Omega_p$ . Define  $\vec{E}_f = \{\vec{E}_1, \vec{E}_2, \dots, \vec{E}_{n_f}\}$  as the route vector of all FCs. The estimation problem is formulated as

$$\min_{\vec{E}_f} \|\hat{X} - X\|_F$$
s.t.  $\hat{X} = MC(P_{\Omega}(X))$ 

$$B(\vec{E}_f, X) = 1$$

$$(16)$$

where  $B(\vec{E}_f, X)$  is a bool function which indicates whether the paths  $\vec{E}_f$  of all the FCs satisfy the traffic condition X.

Note that it is not only difficult to construct the bool function  $B(\cdot, \cdot)$ , but also impossible to judge whether the paths of FCs satisfy the traffic condition before we have estimated X. That is why we try to reduce the average entropy of samples but not to solve the minimization problem.

According to (15), we have

$$||\mathbf{MC}(P_{\Omega}(X)) - X||_F = ||X||_F f(\bar{H}_{\Omega}(X)).$$

Noticing that  $f(\cdot)$  is a monotonically increasing function, the problem (16) can be equivalently formulated into

$$\min_{\vec{E}_f} \quad H_{\Omega}(X) 
s.t. \quad B(\vec{E}_f, X) = 1.$$
(17)

Since ASE  $H_{S,\Omega}(X)$  is difficult to be minimized due to the unavailability of spatial mutual information, we focus on the minimization of ATE by the following theorem.

Theorem 2: Extract k samples from  $n_2$  duty cycles  $1, 2, \dots, n_2$  of a link for traffic estimation, and form the new

sampled duty cycle list  $t_1, t_2 \cdots, t_k$ . If  $H_T(i) = H_T(j) = \zeta, \forall 1 \leq i, j \leq n_2$ , the minimum of ATE is achieved for  $t_1 = t_m - t_{m-1} = n_2 - t_k, (2 \leq m \leq k)$ .

*Proof:* Notice that k sampled duty cycles partition the consecutive  $n_2$  duty cycles into k + 1 segments. Denote the length of the m-th segment by  $\omega_m, m = 1, 2, \cdots, k + 1$ . It is easy to get  $\omega_1 = t_1 - 1$ ,  $\omega_m = t_m - t_{m-1} - 1$ ,  $(1 \le m \le k)$ ,  $\omega_{k+1} = n_2 - t_k - 1$  and  $\sum_{m=1}^{k+1} \omega_m = n_2 - k$ .

The ATE of a link can be computed as

$$\bar{H}_{T,\Omega}(X) = \sum_{m=1}^{k+1} \frac{W(m)}{k},$$

where W(m) is the temporal entropy at the *m*-th segment, and it is given by

$$W(m) = \sum_{j=1}^{\omega_m} \{\zeta - \frac{1}{2} [M_T(j) + M_T(\omega_m - j + 1)]\}$$
$$= \omega_m \zeta - \sum_{j=1}^{\omega_m} M_T(j)$$
$$= \omega_m (\zeta - \gamma) - \alpha \frac{\exp(-\beta)[1 - \exp(-\beta\omega_m)]}{1 - \exp(-\beta)}$$

Hence we have

$$\bar{H}_{T,\Omega}(X) = \frac{1}{k} \left[ (\zeta - \gamma) \sum_{m=1}^{k+1} \omega_m - \frac{\alpha \sum_{m=1}^{k+1} (1 - e^{-\beta \omega_m})}{e^{\beta} - 1} \right]$$
$$= \frac{1}{k} \left[ (\zeta - \gamma) (n_2 - k) - \frac{\alpha (k+1)}{e^{\beta} - 1} \right]$$
$$+ \frac{\alpha}{k(e^{\beta} - 1)} \sum_{m=1}^{k+1} e^{-\beta \omega_m}.$$

It follows from the fitting function  $f(\cdot)$  in Section V-A that  $\alpha > 0$ . The ATE  $\bar{H}_{T,\Omega}$  is minimized if  $\sum_{m=1}^{k+1} e^{-\beta\omega_m}$  is minimized.

Based on Jensen's inequality, it yields

$$\sum_{m=1}^{k+1} e^{-\beta\omega_m} \ge (k+1) \exp\{-\frac{\beta \sum_{m=1}^{k+1} \omega_m}{k+1}\},\$$

and the equivalence holds for  $\omega_m = \omega_n, \forall m, n = 1, 2, \cdots, k+1, m \neq n$ . Thus, ATE of a link is minimized if  $t_1 = t_m - t_{m-1} = n_2 - t_k, (2 \le m \le k)$ .

It is noted that the minimization of ATE reduces the average entropy and thus also the estimation error. According to Theorem 2, the sampling rule can be given as follows: *Sampling the links with equal intervals reduces the estimation error based on MC method.* This motivates us to propose patrol algorithm to control the movement of FCs.

Patrol is the action of traveling around an area to supervise it at regular intervals. Different from [32] where vertices are required to be traveled regularly, the links are desired to be traveled regularly in this paper.

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## A. Circuit Patrol

In circuit patrol, we need to find a circuit path for FCs (e.g. surveillance cars are desired in the way of circuit patrol). The required circuit path needs to be a Eulerian circuit that start from a vertex, traverse all the links in the graph once and return to the start point. Initially, FCs are deployed in the circuit, yielding an equal traveling time between every two consecutive FCs. All the FCs in the same cyclic path follow the same route.

Due to the uncontrollable moving of PVs, we divide the links into two categories: hot links, which integrity is larger than a threshold  $\rho_l$ , and the cold links, which integrity is below  $\rho_l$ . The hot links are sampled by the PVs while the cold links are patrolled by FCs. Construct a new directed graph G' whose edges are the cold links, and hence the FCs need to travel the circuit path in G'.

Does Eulerian circuit exist in G', and what should we do if the Eulerian circuit does not exist? The following lemma gives the answer.

*Lemma 1:* Given the strongly connected directed graph  $G' = \{V', E'\}$ , if  $\langle v_i, v_j \rangle \in E'$  and  $\langle v_j, v_i \rangle \in E'$  hold equivalently, then G' has the Eulerian circuit.

**Proof:** Lemma 1 is easy to be proved. If  $\langle v_i, v_j \rangle \in E'$  and  $\langle v_j, v_i \rangle \in E'$  hold equivalently, the in-degree of every vertex equals to its out-degree. Hence, G' has Eulerian circuit if G' is strongly connected according to the property of Eulerian circuit.

According to Lemma 1, the **circuit patrol** (C-Patrol) method is given as follow: We first add the links whose direction are opposite to the cold links into the graph G', so that the indegree of every vertex in G' equals to its out-degree. Then, if any two parts of G' are not connected, they are separated into two subgraphs. For each subgraph, we find an Eulerian circuit and then assign FCs in each circuit based on the average traverse time of the circuit. The FCs move according to the Eulerian circuit.

Next, we compute the number of FCs to be assigned to a given Eulerian circuit such that the pre-described estimation error request, Err, is satisfied. According to the relationship between estimation error and ATE per link mentioned in Section V-C, the ATE per link,  $h_l$ , should satisfy

$$h_l \le \frac{\exp(Err/b_l) - 1}{c_l} \triangleq \bar{H}_l \,. \tag{18}$$

Based on Theorem 2, the sampling interval of a link should be same for minimized ATE. The minimized ATE of a link under the interval w can be given according to Eqn. (13) as follows.

$$h_{l} = \frac{1}{\frac{n_{2}}{w+1} - 1} \left[ \frac{n_{2}w\zeta}{w+1} - \frac{n_{2}}{w+1} \sum_{k=1}^{w} (\alpha \exp(-\beta k) + \gamma) \right]$$
$$= \frac{n_{2}}{n_{2} - w - 1} \left[ w(\zeta - \gamma) - \frac{\alpha(1 - e^{-\beta w})}{e^{\beta} - 1} \right].$$
(19)

From Eqns. (18) and (19), we have

$$D_1 e^{-\beta w} + D_2 w \le D_3$$

where  $D_1 = \alpha n_2$ ,  $D_2 = (e^\beta - 1)(\bar{H}_l + n_2(\zeta - \gamma))$  and  $D_3 = \bar{H}_l(e^\beta - 1)(n_2 - 1) + \alpha n_2$ . By solving the inequality, we have

$$w \le w_{max} \triangleq \frac{D_2 W_0(-\frac{D_1 \beta}{D_2} e^{-\frac{D_3 \beta}{D_2}}) + D_3 \beta}{D_2 \beta},$$
 (20)

where  $W_0(\cdot)$  is the Lambert W-function.

Moreover, the required Err can be satisfied if the number of FCs for the Eulerian circuit is larger than the threshold  $L/(\bar{v}\Delta T \cdot w_{max})$ , where L is the length of the Eulerian circuit, and  $\bar{v}$  is the average moving speed in the circuit.

It is worth to note that the routes of the FCs in circuit patrol are pre-planned, and the cars are not reactive to the real-time traffic condition. Thus, we try to improve the patrol control by greedy strategy so that the FCs can choose the links to traverse according to the real-time traffic condition.

## B. Greedy Patrol

The main idea of the greedy patrol is that every FC chooses the next link to traverse greedily according to the current and predicted idleness of the links in its area of interest.

The current idleness of the *i*-th link, denoted by  $\tau_{c,i}(t)$ , is easy to get by counting the number of un-sampled duty cycles. Hence  $\tau_{c,i}(t) = \lfloor t/\Delta T \rfloor - k_T^l(i, \lfloor t/\Delta T \rfloor)$ . To predict the future idleness, we assume that the movements of PVs follow the same random process. Let p(i, j, t) be the probability of a PV starting from the link *i* and firstly arriving at the link *j* on *t*, which can be obtained by statistic from the trails of the PVs. Then the expectation of the future idleness of the *i*-th link is

$$\tau_{f,i} = \sum_{k=1}^{+\infty} (k-1)P(i,k\Delta T),$$

where  $P(i, k\Delta T) = 1 - \prod_{j} (1 - p(j, y, k\Delta T))^{m_j}$ , and  $m_j$  is the number of taxis currently in the *i* th link

the number of taxis currently in the j-th link.

To reduce the computational complexity,  $\tau_{f,i}$  is approximated by  $\sum_{k=1}^{k_{max}} (k-1)P(i, k\Delta T)$ . Then the idleness of the link *i* can be calculated by  $\tau_i = \tau_{c,i}(t) + \tau_{f,i}$ . Then the idleness map is  $\tau = \{\tau_1, \tau_2 \cdots, \tau_{n_1}\}$ 

The process of the **greedy patrol** (G-Patrol) is given as follows: at the end of a duty cycle, TMC calculates the current idleness  $\tau_c$  of the links according to the reports from the PVs and FCs. Also, TMC estimates the predicted idleness of the links. Then it calculates the idleness map  $\tau$ , and then report the result to FCs. Each FC greedily moves to the link with the highest idleness. To avoid choosing the common cold links, each FC decides the patrol path with its neighborhood FCs under the coordination of TMC.

#### VII. EVALUATION

In this section, we present the simulation results to evaluate the performance of the circuit patrol and the greedy patrol based on the real world traffic data from STIC, Shanghai, China.

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## A. Circuit Patrol

According to the circuit patrol, the FCs move in the Eulerian circuit predetermined according to the statistic of the links. We calculate the number of required FCs according to Eqn. (20), so that TMC can use MC based estimation to recover the traffic matrix within a pre-described tolerant error. The simulation result is shown in Fig. 7 (a).

For the integrity threshold  $\rho_l$  chosen as 5%, about 540 FCs are required for the whole city of Shanghai so that the estimation error is smaller than 15%. While only 230 FCs are required if the tolerant error is set as 30%. This is because when the tolerant error decreases, the sampling interval of a link decreases, and more FCs are required to guarantee the interval. For the  $\rho_l$  to be increased to 20%, more links are labeled as cold links, and thus the length of the Eulerian circuit increases. As a result, the numbers of required FCs increase to 730 and 310 for the tolerant error of 15% and 30%, respectively.

## B. Greedy Patrol

In the greedy patrol, the movement of the FCs is reactive to the samples of PVs. They are expected to move to the links that PVs seldom pass. For simplicity, we choose the roads in a region whose integrity is 100% as the roads to be sampled, and show the performance of greedy patrol by recovering the traffic matrix via MC technique. The sampling matrix of PVs follows the uneven pattern shown in the Fig. 2.  $N'_f$  FCs are deployed in the region to sense the traffic condition based on greedy patrol. Then the number of FCs to monitor the whole city is  $N_f = N'_f n_1/n_{full}$ , where  $n_1$  and  $n_{full}$  are the numbers of links in the city and the selected region, respectively. The estimation error and standard deviation is shown in Fig. 7 (b).

Comparing the performance of greedy patrol with respect to different  $k_{max}$ , it shows that  $k_{max}$  does not have much impact for  $k_{max} > 6$ . It means we only need to estimate the idlenss of the links 30 minutes in the future. The simulation result also implies that we can achieve a good estimation with 15% error by controlling about 260 FCs. The performance of greedy patrol is better than circuit patrol because the corresponding routes are reactive to the PVs' samples even though they cannot guarantee the same intervals between any two consecutive sampled duty cycles. In order to estimate the required FCs in the circuit patrol, we assume the ATEs of the hot links are  $\bar{h}_l$  although they are normally smaller than  $\bar{h}_l$ . Hence, the required estimation error can be satisfied even though the number of FCs is actually smaller than the theoretical number.

In order to show the scalability of the proposed greedy patrol for different road topologies, we change the bidirectional roads of Shanghai to one-way roads. The estimation result has been shown in Fig. 7(b). It is observed that the estimation error becomes larger. This can be explained that for one-way roads, the FCs need to go across more roads to travel from one cold link to another, which reduces the sampling rate of the idle links. It is also shown that the greedy patrol algorithm benefits from the bidirectional roads topology.



Fig. 7. (a) The number of required FCs of circuit patrol; (b) The estimation error VS the number of FCs of greedy patrol

Furthermore, we use spline interpolation method to estimate the traffic matrix for comparison study. The resulting error shown in Fig. 7(b) is 5% more than that by MC-based algorithm. Moreover, the deviation by MC-based algorithm is smaller than that of interpolation. Compared with the interpolation method, fewer FCs are required for MC algorithm to achieve the same estimation error, which demonstrates the advantage of MC based algorithm.

The performance comparison of greedy patrol and randomly patrol (R-Patrol) is shown in Fig. 7(b). It can be seen that for both MC algorithm and interpolation, there is no obvious improvement even for more FCs if they just patrol randomly. It proves that the improvement of estimation error from 35% to 12% is due to the increase of evenness rather than the number of FCs. On the other hand, the average entropy with greedy patrol control decreases from about  $4.9 \times 10^4$  to  $4.8 \times 10^3$  as the number of FCs increases from 0 to 650. However, the average entropy by random patrol remains nearly the same even though the number of floating cars increases. It has then demonstrated that the traffic estimation benefits from the reduction of entropy for better sampling process of patrolling control of FCs.

# C. Further Discussion

For circuit patrol, FCs need to sample the traffic conditions of cold links with equal intervals. However, a cold link does

not imply light traffic there. It means that the link is seldom passed or traveled by PVs, and its traffic condition is unknown. Consequently, the speed of traveling across cold links may be different. It thus may be difficult to guarantee that these links are sampled by the FCs with the exactly same intervals because of the unknown and varying traffic. We discuss the impact of traffic variation on estimation error by using circuit patrol as follows.

For the case that the cold links are with light traffic, FCs can try to keep almost equal travel time from one cold link to the next by regulating their speed. In fact, the time granularity of estimation,  $\Delta T$ , is set to be 5 minutes in our study. All the sensing reports uploaded in one duty cycle are considered as sampled at the same time. Suppose that the expected time difference between two consecutive FCs to sample the same cold link is  $\Delta t_s$ . It is acceptable as long as the real time difference,  $\Delta t_r$ , satisfies  $|\Delta t_r - \Delta t_s| \leq 0.5\Delta T$ . Consequently, the circuit patrol is robust against the small variation of traffic condition due to the reasons such as the regulation of traffic light.

For the case that the cold links are with heavy traffic, we test the effectiveness of circuit patrol by various traffic conditions. We randomly select 10% to 50% of the cold links, and reduce their average traversal speed during daytime by 20%. The result is shown in Fig. 8. The threshold of cold links in the circuit patrol,  $\rho_l$ , is 5% for Fig. 8(a) and 20% for Fig. 8(b). With  $\rho_l = 5\%$ , the estimation error slightly increases from 10.74% to 11.99% when the percentage of congested roads increases from 0 to about 50% with 700 FCs. For  $\rho_l = 20\%$ , the estimation error increases from 10.69% to 12.15% when 950 FCs are deployed. It has been shown that the estimation performance does not degrade much. Consequently, the circuit patrol is robust against traffic variation in cold links. If severe traffic congestion happens in cold links, FCs could change their predetermined routes by reporting the realtime traffic condition to TMC and receiving the guidance from TMC. At this circumstance, the cold links have turned into hot ones. It is noted that  $\Delta t_s$  could also adaptive according to the realtime traffic and the updated circuit routes.

As for greedy patrol, the time intervals of sampling cold links are either not exactly the same due to traffic variation. However, we can achieve good estimation result since the differences of time intervals are only one or two duty cycles from simulation. It is seen that the greedy patrol is adaptive to traffic variation in nature.

It implies from the discussion that the traffic estimation performance would not be deteriorated greatly if the variation of sampling time of cold links are not too large by the proposed patrol strategies.

## VIII. CONCLUSION

In this paper, we have proposed patrol control algorithms to improve the performance of matrix completion based urban traffic monitoring. From the analysis of real world traffic data from Shanghai, it has been shown that the performance of matrix completion depends on the sampling process, and the uneven distribution of probe vehicles leads to the uneven sampling of traffic matrix. Therefore, we explore the relationship



Fig. 8. The number of required FCs of circuit patrol with congested roads sometimes with (a)  $\rho_l=5\%$  (b)  $\rho_l=20\%$ 

between the estimation performance and the sampling process from the perspective of entropy. To reduce the average entropy of samples, two path planning algorithms, namely circuit patrol and greedy patrol, have been proposed to control the movement of the floating cars, which proactively participate in traffic sensing. The circuit patrol algorithm pre-plans circuit paths for floating cars that contain all the cold links (with low traffic), while greedy patrol algorithm enables the floating cars reactively to travel according to the distribution of the obtained samples. Simulation results have shown that our algorithms outperform the random patrol in terms of estimation error. By replanning the paths of about 400 floating cars for the whole city of Shanghai, the estimation error of greedy patrol reduces from 35% to 12%.

For our future research, the distribution of PVs is to be explored in depth from the perspective of social pattern based on real traces of probe vehicles (buses and taxis in this paper). Geographically, the mobility routs of buses are constant, thus the average speed of the buses' can be used to estimate the spacial distribution of traffic in the regions along the buses' routes. While the taxis have restricted mobility regions around some specific social spots (e.g., business centers and financial centers), so the spacial distribution of traffic in the regions sampled by taxis may follow a specific power-law from the hot spots towards the boarder of the mobility regions. Taking this social pattern into account, the traffic estimation

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method proposed in this paper can be improved by more accurate spacial entropy of traffic samples. Another possible direction is to study the traffic monitoring based on multiple sources of traffic samples, e.g. VSN, static traffic cameras and smart phones. Then new relationship between estimation error and sampling process may be explored and then used to provide guidance on camera deployment. Besides, studying the performance of patrol algorithm with different road topology might be useful in improving the strategies. It is also very interesting to incentive private vehicles to participate the traffic sensing by smart phones, so that the traffic condition of the whole city can be estimated by this efficient and economical way.

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